

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Toshio Sone et al. Art Unit:
Serial No.: 09/308,027 Examiner:
Filed : May 12, 1999
Title : PEPTIDE-BASED IMMUNOTHERAPEUTIC AGENT

Box PCT

Assistant Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS OF APPLICATION

Responsive to the Notification of Missing Requirements
of Application under 35 U.S.C. 371 mailed June 17, 1999 (a copy
of which is enclosed), applicants as a large entity submit the
following:

A Combined Declaration and Power of Attorney in
compliance with 37 CFR 1.497.

Payment of the surcharge of \$130.00 for late
filing of the declaration.

It is understood that this perfects the application and
no additional papers or fees are required.

"EXPRESS MAIL" Mailing Label Number EL445371912US

Date of Deposit Aug 16, 1999

I hereby certify under 37 CFR 1.10 that this correspondence is being
deposited with the United States Postal Service as "Express Mail
Post Office To Addressee" with sufficient postage on the date
indicated above and is addressed to the Assistant Commissioner for
Patents, Washington, D.C. 20231.

Kristy Cioffi
Kristy Cioffi

Please apply any other charges or credits to Deposit

Account No. 06-1050.

Respectfully submitted,

Date:

Aug. 16, 1999



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09/308027



PATENT AND TRADEMARK OFFICE
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Box PCT
Washington, D.C. 20231

U.S. APPLICATION NO. 097308,027	FIRST NAMED APPLICANT SONE	ATTY. DOCKET NO. 06501/031001
INTERNATIONAL APPLICATION NO. PCT/JP97/04129		
I.A. FILING DATE 11/12/97		
PRIORITY DATE 11/13/96		

5071

JANIS K FRASER
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DATE MAILED: 06/17/99

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494), ☒ an Elected Office (37 CFR 1.495):

- ☒ U.S. Basic National Fee.
☒ Copy of the international application in:
☒ a non-English language.
☐ English.
☒ Translation of the international application into English.
☒ Oath or Declaration of inventors(s) for DO/EO/US. (unsigned)
☐ Copy of Article 19 amendments.
☐ Translation of Article 19 amendments into English.
☒ The International Preliminary Examination Report in English and its Annexes, if any.
☐ Translation of Annexes to the International Preliminary Examination Report into English.
☐ Preliminary amendment(s) filed _____ and _____.
☐ Information Disclosure Statement(s) filed _____ and _____.
☐ Assignment document.
☐ Power of Attorney and/or Change of Address.
☐ Substitute specification filed _____.
☐ Statement Claiming Small Entity Status.
☒ Priority Document.
☒ Copy of the International Search Report ☒ and copies of the references cited therein.
☐ Other:

RECEIVED

JUN 22 1999

FISH & RICHARDSON

Docketed By Practice Systems	
English Code:	1200 Required
Base Date:	6/17/99
Due Date:	7/17/99
Deadline:	12/17/99
Initial:	HM
Record:	

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

Docketed By Billing Secretary	
Due Date:	7-17-99
Deadline:	12-17-99
Initials:	JS

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE **MUST** BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.
 5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice *MUST* be returned with this response.

Enclosed: ☒ PCT/DO/EO/917 ☐ Notice of Defective Translation
☐ PTO-875
 FORM PCT/DO/EO/905 (December 1997)

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National Stage Processing
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